



ANTI-CORRUPTION INVESTIGATIONS & COMPLIANCE

EXPERTS WITH IMPACT

Leading the way in **anti-bribery and corruption**

Anti-corruption enforcement activity is increasingly global. Companies must comply with international anti-bribery laws or risk severe penalties, whilst facing growing public expectations of good ethical behaviour and pressure from stakeholders to improve results.

FTI Consulting's Anti-Corruption Investigations & Compliance team provides leading global capabilities to help companies **investigate**, **mitigate** and **prevent** corruption issues. Our specialists advise internal and external counsel, government appointed monitors and corporate compliance officers, and have led over 300 multi-jurisdictional Foreign Corrupt Practices Act (FCPA) and UK Bribery Act (UKBA) corruption cases.

INVESTIGATE

We offer support at every stage of a corruption investigation, including:

- collecting information
- reviewing and analysing data
- responding to regulators
- acting as an expert witness in court

MITIGATE

Following a corruption investigation, we can:

- act as an independent compliance consultant
- assist a court-appointed monitor
- implement remediation plans
- quantify the proceeds of corruption for disgorgement purposes
- calculate a corporate's ability to pay fines



PREVENT

We develop and implement prevention programmes to reduce corruption risks, including:

- conducting enhanced due diligence on high-risk third parties
- managing third party risk using our online compliance tool, FTI Comply, that automates much of the process
- reviewing pre- and post-acquisition compliance
- training senior management in the handling of a corruption incident
- designing and testing anti-bribery and corruption compliance programmes

Anti-corruption investigations

Our multi-disciplinary team of specialist investigators, forensic accountants, data analysts and computer forensic experts work collaboratively to reveal the truths underlying a corruption investigation.

HOW WE CAN HELP

When a corruption allegation needs investigating, our integrated team, with a mix of specialist skills and industry expertise, can assist by:

- quickly assessing the situation
- designing a tailored, scaled work plan delivered in a phased and cost-effective manner
- providing advice that is built on a robust evidence base, grounded in rigorous analysis and supported by FTI Consulting's forensic heritage
- using discrete processes that do not interrupt the regular course of business
- accessing proprietary sources of intelligence that may not always be publicly available

GLOBAL PRESENCE

FTI Consulting's global presence means we can deploy teams anywhere in the world, helping you respond to a corruption investigation by providing:

- local experts in 28 countries, including all major finance capitals
- multi-lingual capabilities, with over 20 languages spoken
- in-country IT forensic capabilities to manage data privacy issues
- familiarity with local customs and business practices

COMMERCIAL APPROACH

An investigation can be challenging and stressful for a business, which is why our approach is based on commerciality and pragmatism. We help resolve matters with minimum disruption to the business, using:

- tact and sensitivity when interviewing staff and witnesses
- speed and efficiency when mobilising on-site teams
- discretion and flexibility when collecting confidential data
- open and regular communication with key stakeholders
- recommendations based on what's best for the business

Internationally recognised leaders in forensic investigations



Recognised as **leading experts** in both Who's Who Legal: Investigations 2017 and Who's Who Legal: Asset Recovery 2017



Recognised as having the **deepest and strongest capabilities in Forensic Investigations** by Kennedy Consulting Research & Advisory



Ringtail®, our e-discovery platform recognised as a top online review platform in the 2015 Best of The National Law Journal reader rankings



Support at every stage of an investigation

Corruption investigations can vary widely, have sudden changes in scope, and are often time-critical. They require immediate, decisive action and specialist support from experts, to help you respond effectively and efficiently. Whatever help you need, our multi-disciplinary team can assist at every stage of an investigation – tailoring our services to match the specific requirements of the case.

INFORMATION COLLECTION

- Search of **publicly available material**, along with our own discreet source enquiries
- Conduct **interviews**
- Investigate **beneficial relationships**
- **Identify and preserve data** from servers, computers, mobile phones and other forms of electronic media
- Preserve **hard copy and electronic** accounting records
- **Map relationships** and ownership structures

ELECTRONIC DATA REVIEW & ANALYSIS

- Use **advanced analytics** through Ringtail, FTI's document review platform, to help home in on the relevant documents quickly
- Uncover activity on devices, including **recovery of deleted items** and attempts to wipe data
- Use various tools to **analyse structured data sets** of any size to establish patterns and anomalies
- **Reconstruct** accounting records
- Combine output with information and evidence gathered elsewhere, to **verify the fact pattern** and establish any discrepancies needing further investigation

RECOMMENDATIONS & FINDINGS

- Produce a **report for key stakeholders** (e.g. senior management and the board, legal advisors, regulators or the court), based on our investigation, that answers the **who, what, where, when, how and why**
- Identify **control weaknesses**
- Provide information to assist lawyers on the **appropriate case strategy** and advise on possible next steps
- Create a **crisis communications strategy** and tactical implementation plan

COURT/ REGULATOR

- **Calculate additional profits** earned on transactions under scrutiny
- Provide **expert reports** based on independent, supportable analysis
- Conduct **ability-to-pay assessments** to support legal counsel in plea negotiations
- Support court-appointed **independent monitor** pursuant to settlement agreements
- Work as an **independent compliance consultant** reporting to the board



Our recent anti-corruption cases

GLOBAL CASES

-  SEC & DOJ FCPA Investigation
Financial Services
-  Post-acquisition FCPA Risk Assessment
US Conglomerate
-  FCPA Monitorship Assistance
Manufacturing & Distribution
-  FCPA Compliance Program Assessment
Medical Devices
-  Pre-acquisition FCPA Due Diligence
Diversified Industrial
-  FCPA Monitorship Assistance
Energy
-  Anti-Corruption Compliance Review
Molecular Diagnostics

KEY

-  FCPA Investigation
-  UKBA Investigation
-  Due Diligence
-  Risk Assessment
-  Monitorship
-  Compliance Review

-  FCPA Investigation
Financial Services, US
-  FCPA Monitorship Assistance
Betting & Gaming, US
-  FCPA & UKBA Compliance Review
Education, US, UK & Central America
-  Due Diligence
Natural Resources, Mexico
-  Competitive Intelligence Monitorship
Manufacturing, Ecuador
-  Corruption Risk Assessment
Oil & Gas, Brazil, Uruguay & Switzerland
-  Due Diligence
Private Equity, Brazil
-  Investigative Due Diligence
Services Company, Argentina, Peru & Chile

-  FCPA Investigation
Electronics Manufacturer, CIS, Finland & Turkey
-  FCA Section 166 Review
Insurance Broker, UK
-  FCPA Investigation
IT Company, Czech Republic, Slovakia & Austria
-  Corruption Investigation
French Multinational Conglomerate, France & Kenya
-  FCPA Investigation
Diversified Manufacturing, Turkey
-  FCPA Investigation
Manufacturing Company, France & North Africa
-  SFO Bribery Case
Printing Company, Kenya & Mauritania
-  FCPA Compliance Review
Energy, Senegal
-  SFO Bribery Case
Construction, Nigeria
-  FCPA Investigation
Oil, Nigeria
-  Local Partner Due Diligence
Mining, Zambia & DRC
-  Investigative Due Diligence
Education, South Africa
-  FCPA Investigation
Electronics Manufacturer, CIS, Finland & Turkey
-  FCPA Risk Assessment
Oil Services Company, Russia
-  FCPA Investigation
Global Manufacturing Company, Russia & CIS
-  Corruption Investigation
Technology, China & Hong Kong
-  FCPA Investigation
Pharmaceuticals, China
-  Investigative Due Diligence
Investment Banking, Japan
-  FCPA Risk Assessment
Industrial & Consumer Products Manufacturer, China & South Korea
-  Investigative Due Diligence
Trading Company, Afghanistan
-  Anti-corruption Due Diligence
Distributor, India
-  SFO Bribery Case
Services Company, India, Vietnam & Nigeria
-  FCPA Investigation
Healthcare Manufacturer, India
-  Investigative Due Diligence
Resort/Casino, China, Cambodia, Thailand & Hong Kong
-  Investigative Due Diligence
Investment Banking, Singapore & Indonesia
-  FCPA Investigation
Oil, Indonesia
-  Pre-Investment Due Diligence
Mining, Papua New Guinea
-  Pre-Acquisition FCPA Due Diligence
Property, Australia
-  Investigative Due Diligence
Financial Services, Australia

About FTI Consulting



Advisor to the world's top 10 bank holding companies	With over 3550+ employees and offices in 28 countries on six continents, our breadth and depth extends across every major social, political and economic hub around the globe	56 of Global 100 corporations are clients	Trusted advisors serving clients globally with diverse expertise and exceptional credentials – including accountants, economists, engineers, former CFOs and strategists
Founded in 1982 and \$1.4BLN equity market capitalisation*		Advisor to 97 of the world's top 100 law firms	
Combines unparalleled expertise and industry knowledge to address critical challenges for clients	We are a global advisory firm that provides multi-disciplinary solutions to complex challenges and opportunities	Our largest industry groups are: Energy, Power & Products; Financial Institutions & Insurance; Healthcare & Life Science; Real Estate; Retail & Consumer; and Telecom, Media & Technology	

*Total Shares outstanding times the closing share price as of July 20, 2017



For more information about anti-corruption investigations & compliance,
please email us at anti-corruption@fticonsulting.com or call +44 (0)20 3727 1000

EXPERTS WITH IMPACT

About FTI Consulting

FTI Consulting is an independent global business advisory firm dedicated to helping organisations manage change, mitigate risk and resolve disputes: financial, legal, operational, political & regulatory, reputational and transactional. FTI Consulting professionals, located in all major business centres throughout the world, work closely with clients to anticipate, illuminate and overcome complex business challenges and opportunities.

www.fticonsulting.com

©2017 FTI Consulting, Inc. All rights reserved.